

400 VARIANT ACCESS POINT – PERSONAL NAME

Field Definition and Scope

Variant or non-preferred form of the personal name in field 200.

It is formulated in accordance with the cataloguing rules or subject system in use by the agency which created it.

In some cases, this field may refer to another 2-- field following the subject system in use by the agency.

Subfields & Occurrence

Field/Subfield	Field/Subfield Name	Repeatability	Occurrence
400	VARIANT ACCESS POINT – PERSONAL NAME	R	O
a	Entry Element	NR	MA
b	Part of Name Other than Entry Element	NR	O
c	Additions to Names Other than Dates	R	O
d	Roman Numerals	NR	O
f	Dates	NR	O
g	Expansion of Initials of Forename	NR	O
j	Form Subdivision	R	O
k	Attribution Qualifier	R	O
l	Start Period of Use	NR	O
m	End Period of Use	NR	O
x	Topical Subdivision	R	O
y	Geographical Subdivision	R	O
z	Chronological Subdivision	R	O
0	Instruction Phrase	NR	O
2	Source	NR	O
3	Authority Record Identifier or Standard Number	NR	O
4	Relator Code	R	O
5	Relationship Control	NR	O
6	Interfield Linking Data	R	O
7	Script of Cataloguing and Script of the Base Access Point	NR	O
8	Language of Cataloguing and Language of the Base Access Point	NR	O

Indicators

Indicator	Value	Description
1	#	blank (not defined)
2		Specifies the way the name is entered
	0	Name entered under forename or direct order
	1	Name entered under surname

Subfields Description

\$a Entry Element

Portion of the name used as the entry element in the access point; that part of the name by which the name is entered in ordered lists.

This subfield must be present when the field is present. Not repeatable.

\$b Part of Name Other than Entry Element

Remainder of the name, used when the entry element is a surname or family name.

It contains forenames and other given names.

The form of name indicator should be set to 1 when this subfield is used. Printing expansions of initials should be entered in \$g. Not repeatable.

\$c Additions to Names Other than Dates

Any additions to names (other than dates) which do not form an integral part of the name itself including titles, epithets or indications of office.

Repeatable for second or subsequent occurrences of such additions.

\$d Roman Numerals

Roman numerals associated with names of certain popes, royalty and ecclesiastics.

If an epithet (or a further forename) is associated with the numeration, this too should be included. The form of name indicator should be set to 0 when this subfield is used. Not repeatable.

\$f Dates

Dates attached to personal names together with abbreviations or other indications of the nature of the dates.

Any indications of the type of date (e.g., flourished, born, died) should be entered in the subfield in full or abbreviated form. All the dates for the person named in the field should be entered in \$f. Not repeatable.

\$g Expansion of Initials of Forename

Full form of forenames when initials are recorded in subfield \$b as the preferred form and when both initials and the full form are required.

Not repeatable.

\$k Attribution Qualifier

Attribution information for names when the responsibility is unknown, uncertain, fictitious, or pseudonymous. Qualifiers should be used that follow the name of a known artist for the work. Repeatable.

\$j Form Subdivision

A term added to a subject access point to further specify the kind(s) or genre(s) of material. Agencies not using this subdivision should use \$x instead.

Repeatable.

\$l Start Period of Use

Start period of use of a name, if the entity has several successive names.

Not repeatable. (EX 8)

List of fixed length data elements for subfield \$l:

Name of Data Element	Number of Characters	Character Position
Era	1	0
Date	8	1-8
Date Reliability	1	9

The data element Era is mandatory if the subfield is used.

\$m End Period of Use

Final date of usage of a name, if the entity has several successive names.

Not repeatable. (EX 8)

List of fixed length data elements for subfield \$m:

Name of Data Element	Number of Characters	Character Position
Era	1	0
Date	8	1-8
Date Reliability	1	9

The data element Era is mandatory if the subfield is used.

\$x Topical Subdivision

A term added to a subject access point to further specify the topic the subject access point represents.

Repeatable.

\$y Geographical Subdivision

Term added to a subject access point to specify a place in relation to a person which the subject access point represents.

Repeatable.

\$z Chronological Subdivision

Term added to a subject access point to specify the period in time in relation to a person whom the subject access point represents.

Repeatable.

\$0 Instruction Phrase

See specification of [Control Subfield 0](#). Not repeatable.

\$2 Source

See specification of [Control Subfield 2](#). Not repeatable.

Use for identification code of a subject system or a cataloguing rules that differ from the system in subfield 152b, and in which the variant access point appears.

\$3 Authority Record Identifier or Standard Number

See specification of [Control Subfield 3](#). Not repeatable.

Use for the control number identifier of the related reference record that is used for display in preference to generating a display from the 400. Subfield \$3 may be used when the field also contains subfields \$2 and \$5 in which character position 1 contains value "0".

\$4 Relator Code

See specification of [Control Subfield 4](#). Repeatable.

\$5 Relationship Control

See specification of [Control Subfield 5](#). Not repeatable.

\$6 Interfield Linking Data

See specification of [Control Subfield 6](#). Repeatable.

\$7 Script of Cataloguing and Script of the Base Access Point

See specification of [Control Subfield 7](#). Not repeatable.

\$8 Language of Cataloguing and Language of the Base Access Point

See specification of [Control Subfield 8](#). Not repeatable.

Notes on Field Contents**\$1/0 Era**

1-character code indicates the era associated with the entity described. Mandatory.

#	CE
-	BC

\$1/1-8 Date

8-numeric characters in ISO standard form (ISO 8601) for dates: YYYYMMDD where YYYY represents the year, MM the month with leading 0 if necessary and DD the day of the month with leading 0 if necessary.

If any digit in a year, month, or day is unknown or not needed, the character position should be left blank.

\$1/9 Date Reliability

1-character code indicates the reliability of the date.

#	certain date
?	uncertain date

\$m/0 Era

1-character code indicates the era associated with the entity described. Mandatory.

#	CE
-	BC

\$m/1-8 Date

8-numeric characters in ISO standard form (ISO 8601) for dates: YYYYMMDD where YYYY represents the year, MM the month with leading 0 if necessary and DD the day of the month with leading 0 if necessary.

If any digit in a year, month, or day is unknown or not needed, the character position should be left blank.

\$m/9 Date Reliability

1-character code indicates the reliability of the date.

#	certain date
?	uncertain date

Related Fields

200 AUTHORIZED ACCESS POINT – PERSONAL NAME

Examples

EX 1

200 #1\$aDuMaurier,\$cDame\$bDaphne
400 #1\$aMaurier,\$cDame\$bDaphne du
EX 2
200 #1\$aWaterman,\$bAnthony M.C.,\$f1931-
400 #1\$aWaterman,\$bA.M.C.
EX 3
200 #1\$aRolfe,\$bFr.
400 #1\$aCorvo,\$cBaron
400 #1\$aRolfe,\$bFrederick William
EX 4
200 #1\$aГорький\$bМ.\$gМаксим\$f1868-1936
400 #1\$5\$aПешков\$bА. М.\$gАлексей Максимович\$f1868-1936
EX 5
200 #0\$aАвраамий\$cДернов, Анатолий Иванович, архиепископ\$f1874-1939
400 #1\$5m\$aДернов\$bА. И.\$gАнатолий Иванович\$d1874-1939
EX 6
200 #0\$aВиктория Федоровна\$свеликая княгиня\$f1876 – 1936
400 #0\$5k\$aВиктория Мелита\$f1876 – 1936
EX 7
200 #0\$aМихаил Федорович\$cцарь русский\$f1596 – 1645
400 #1\$aРоманов\$gМихаил Федорович\$bМ. Ф.\$f1596 – 1645
EX 8
200 #1\$7ba0yba0y\$8fre\$aGary\$bRomain\$f1914-1980
400 #1\$5e\$7ba0yba0y\$8fre\$aAjar\$bÉmile\$f1914-1980\$l#1974#####

History

2016	Update.
2024	Text edit.
2025	New subfields \$l and \$m.